

## Alternate paths of evolution for the photosynthetic gene *rbcL* in four nonphotosynthetic species of *Orobanche*

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### Abstract

We have determined the nucleotide sequence for the Rubisco large subunit from four holoparasitic species of *Orobanche*. Intact open reading frames are present in two species (*O. corymbosa* and *O. fasciculata*), whereas the remaining species (*O. cernua* and *O. ramosa*) have *rbcL* pseudogenes. Sequences for *rbcL* 5'-UTRs from species of *Orobanche* have few changes in the promoter and ribosome binding sites compared to photosynthetic higher plants. Comparison of *rbcL* 3'-UTR sequences for *Nicotiana*, *Ipomoea*, *Cuscuta*, and *Orobanche* reveal that nucleotide sequences from parasitic plants have regions capable of forming stem-loop structures, but 56–69 nt are deleted upstream of the stem-loop in the parasitic plants compared to their photosynthetic relatives. Although *rbcL* pseudogenes of *O. cernua* and *O. ramosa* have many large and small deletions, few indels are shared in common, implying that their common ancestor probably had an intact *rbcL* reading frame. Intact *rbcL* reading frames in *O. corymbosa* and *O. fasciculata* retain a bias of synonymous over nonsynonymous substitutions and deduced protein sequences are consistent with potentially functional Rubisco large subunit proteins. A conservative model of random substitution processes in pseudogene sequences estimates that the probability is low ( $P < 0.028$ ) that these sequences would retain an open reading frame by chance. Species of *Orobanche* have either had recent photosynthetic ancestors, implying multiple independent losses of photosynthesis in this genus, or the *rbcL* gene may serve an unknown function in some nonphotosynthetic plants.

### Introduction

Parasitic plants lacking chlorophyll (holoparasites) do not have a functional photosynthetic apparatus and are entirely dependent on their host for reduced carbon [23, 32, 33]. Because holoparasites are not self-reliant for photosynthates, much of the plastid genome is under relaxed functional constraint [13]. The plastid genomes of several holoparasitic higher plant genera have recently been examined for genome size and/or gene content (e.g., *Cuscuta* (Cuscutaceae) [18, 19, 26]; *Epifagus* (Orobanchaceae) [14, 48]; *Lathraea* (Scrophulariaceae) [12, 42, 43]; *Conopholis* (Orobanchaceae)

[5, 46, 47]; *Orobanche* (Orobanchaceae) [45]). In general, the size of the plastid genome is greatly reduced in holoparasitic plants compared to their closest autotrophic relatives and many of the bioenergetic genes are deleted or sufficiently altered to be classified as pseudogenes [5, 13, 14, 18, 19, 26, 43–48]. Similarly, the heterotrophic euglenoid, *Astasia longa*, has a plastid genome half the size of its photosynthetic relative, *Euglena gracilis* [38], and most of the genes for the photosynthetic apparatus are absent. However, the gene for the large subunit of ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco; *rbcL*) is present and expressed in the plastid genome of *A. longa* [39].

Investigations of gene expression for the large subunit of Rubisco, or carbon dioxide assimilation, have been conducted to measure relative photosynthetic

The nucleotide sequence data reported will appear in the EMBL, GenBank and DDBJ Nucleotide Sequence Databases under the accession numbers U73968, U73969, U73970 and U73971.

activity of parasitic plants [3, 12, 14, 18, 26, 32, 33, 42, 45]. The *rbcL* gene has been detected by Southern blot hybridization, polymerase chain reaction (PCR) amplification, or nucleotide sequencing in the holoparasites *Lathraea* [12, 42–44], *Cuscuta* [18, 26], and *Orobanche* [45]. *Epifagus* has retained a fragment of the *rbcL* gene [48], whereas there is no remnant of *rbcL* in *Conopholis* [5]. Surprisingly, some holoparasitic plants such as *Lathraea* [3, 12, 42] and *Cuscuta* [18, 26] have detectable Rubisco activity or *rbcL* gene transcription.

*Lathraea* is a genus of perennial root holoparasites, which spend up to 10 years underground before the achlorophyllous flowering shoots emerge [23]. Rubisco activity is about 20 times lower in *Lathraea* compared to other C<sub>3</sub> plants [3, 12]. The cause of the reduced Rubisco activity is unknown. The promoter and ribosome binding sites are intact and the *rbcL* gene encodes an open reading frame (ORF) in *Lathraea* [12].

*Cuscuta* comprises a large genus of annual stem holoparasites. Some species have chlorophyll in low concentration, whereas others are achlorophyllous [26]. A reduction in Rubisco activity for *C. reflexa* nearly equivalent to the enzyme activity for *Lathraea* was reported by Machado and Zetsche [26], whereas Haberhausen *et al.* [18] reported a total lack of enzyme activity. The reduced enzyme activity for *C. reflexa* was attributed to the lack of a palindromic sequence capable of forming a stem-loop structure in the 3'-untranslated region (UTR) of the *rbcL* transcript [18]. Stem-loop structures in the 3'-UTR have been shown to affect transcript stability or transcription termination of many photosynthetic genes [1, 2, 17, 35, 41].

Thalouarn *et al.* [45] detected the presence of the *rbcL* gene in the plastids of *O. hederae* and *O. minor* using PCR-amplification and Southern blot assays. However, an immunoassay directed against the Rubisco protein was negative for the two species of *Orobanche* examined. In addition, no Rubisco activity was detected for *O. crenata* and *O. ramosa* [11, 32]. The mechanisms responsible for the lack of the Rubisco protein in species of *Orobanche* are presently unknown.

In this investigation we examined the nucleotide sequence of the *rbcL* coding region and 5'- and 3'-UTRs in four species of *Orobanche*. Our goals were to determine (1) whether a *rbcL* ORF was present in *Orobanche*, and (2) whether there were mutations in the 5'- or 3'-UTRs that could affect gene expression if an ORF was detected. Here we report that ORFs for

*rbcL* are present in two species of *Orobanche*, whereas insertion/deletion events (indels) led to the formation of *rbcL* pseudogenes in the other two species examined. The changes observed in the 5'- and 3'-UTRs are discussed in the context of potential affects on *rbcL* gene expression.

## Materials and methods

Total DNAs were isolated from individual plants of *O. cernua*, *O. corymbosa*, *O. fasciculata* and *O. ramosa* following a large-scale modification of the CTAB extraction protocol [16]. *rbcL* was PCR-amplified using the RH1 and 1352R primers (Table 1). The 5'-UTR was PCR-amplified using *atpB* 766R × *rbcL* 1352R, and the 3'-UTR was PCR-amplified using *rbcL* 1020 × ORF106 (Table 1). A 100 µl PCR reaction for *rbcL* utilized 0.64 µm of each primer, 1× *Taq* polymerase buffer (50 mM KCl, 10 mM Tris-HCL pH 9.0, 0.1% Triton X-100; Promega, Madison, WI), 2.0 mM dNTPs, 2.0 mM mgCl<sub>2</sub>, and 0.0125 units of *Taq* DNA polymerase (Promega). PCR amplifications of the 5'- and 3'-UTRs differed by utilizing 1× *Taq* Extender Buffer (100 mM KCl, 200 mM Tris-HCL pH 8.8, 100 mM (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 20 mM MgSO<sub>4</sub>, 1% Triton X-100, 1 mg/ml nuclease-free BSA; Stratagene, La Jolla, CA) and adding 0.0125 units of *Taq* Extender (Stratagene). About 1.0 µg of total DNA served as a template for each amplification. The reaction mix was overlaid with mineral oil, placed in a MJ Research thermocycler (Watertown, MA) and subjected to 35 cycles of 40 s at 94 °C, 1 min at 48 °C, 2 min + 5 s/cycle at 72 °C, after a 1.5 min denaturation at 94 °C. A 5 min extension at 72 °C followed the amplification cycle.

PCR products were isolated for sequencing by electrophoresis from 1% agarose gels onto DEAE cellulose membrane (Schleicher and Schuell SS45, Keene, NH [30]). Purified double-stranded PCR products were used directly for manual <sup>35</sup>S-dideoxy sequencing using a modification of the standard Sequenase (US Biochemicals, Cleveland, OH) protocols: 3 µl (250–1000 ng) of template DNA was denatured in a boiling water bath for 3–5 min in the presence of 1 µl of 50 µM primer, 3 µl water, and 2 µl Sequenase reaction buffer; denatured DNA was then snap-chilled in a dry ice/ethanol bath. Primers used for sequencing the forward and reverse strands of *rbcL* and the 5'- and 3'-UTRs are listed in Table 1. Sequences were obtained from both strands of the coding and 5'- and 3'-UTR regions of *rbcL*. Sequences of *rbcL* and the 5'- and

Table 1. PCR amplification and DNA sequencing primers.

Primer sequence (5' → 3')	Forward primer name	Primer sequence (5' → 3')	Reverse primer name
TAACATCTCGAAATATTCCGCCAT	766R <sup>a</sup>	CCGGAGCTCTAGAAAAGATTGGGCCAG	3'
AYAACATAYACCCTGTCAAGARSGA	N5UTR	CTTCACAAGCAGCAGCTAGGTAGGACTCC	Z1352R <sup>c</sup>
TGTTGTCAGAATTATTGTTTAGGG	ORA5UTR <sup>b</sup>	GTCCTAAAGTTCCTCCACCGAA	N1204R
ATGTCACCACAAACAGAAACTAAAGC	RH1 <sup>c</sup>	CGCAGTAAATCAACAAAGCCCA	N1020R
CGTTACAAAGGACGATGCTACCACATCGA	Z234 <sup>c</sup>	GATTCTTCTGTCTATCAATAACCGC	N900R
TATGTTAAACATTCCAAGGTCCGC	N430	TCACCTGTTTCAGCCTGTGCTTTAT	N678R
AATTGGGGTTATCTGCTAAAAACT	N530	ACGTTACCTACAATGGAAGTAAACA	N350R
TATAAAGCACAGGCTGAAACAGGTG	N674	GATTCGGCAGCTACTGCGGCC	N158R
GCAGTTATTGATAGACAGAAAGATTGATGG	N895	ACTTGCTTCTAGTCTGTTGTTGACAT	Z1R <sup>c</sup>
TGGGCTTGTGATTTACTGCG	N1020	AAATACATRCAATAGAATCTTG	N3UTR
TTCGGTGGAGGAACCTTCTAGGAC	N1204	ACTACAGATCCCATACTACCCCC	ACCD

<sup>a</sup> *atpB* primer designed by S. Hoot.<sup>b</sup> *rbcL* primer used only for *O. ramosa*.<sup>c</sup> *rbcL* primer designed by G. Zurawski

3'-UTRs flanking the gene were obtained from GenBank for *Nicotiana* (Solanaceae), *Ipomoea* (Convolvulaceae), and *Cuscuta* (Cuscutaceae; accession numbers Z00044, X60663 and X61698).

The *rbcL*-coding region and the 5'- and 3'-UTR sequences were aligned separately using the ClustalV program [20] running on a MacIntosh Centris 650 computer (Apple Computer; Cupertino, CA). The results were adjusted manually to obtain the best alignment for each region. 3'-UTR sequences were also converted to mRNA sequences and assayed for secondary structure using the MFOLD and PLOTFOLD programs in the Genetics Computer Group (GCG) software package version 7.0 [15].

Sequences for the coding region of *rbcL* from *Nicotiana* and the four species of *Orobanche* were analyzed using MEGA [24]. The synonymous ( $K_S$ ) and nonsynonymous ( $K_N$ ) substitution rates and distances were calculated after a Jukes-Cantor correction. Potential stop codons were removed from the pseudogene sequences to facilitate computation. Gaps and missing data were removed only in the pairwise comparisons.

The sequences for the *rbcL* coding region were translated using the computer program MacVector 4.1.5 (Eastman Kodak, Rochester, NY). Gap positions were maintained, but nucleotide insertions from *rbcL* pseudogene sequences were not included in the translation in order to reconstruct the most conservative protein alignments. The inferred protein sequences were aligned using ClustalV [20]. Nonconserved amino acids in the polypeptide chain were analyzed using the pam250 matrix [10] with the Rubisco large subunit

of *Nicotiana* as a reference protein. The total pam250 scores for amino acid composition differences were subjected to a Wilcoxon two-sample test based on ranks in pairwise comparisons with *Nicotiana* [40].

## Results and discussion

### *rbcL* coding region

Here we report the first *rbcL* sequences for species of *Orobanche*. Intact *rbcL* ORFs were detected for *O. corymbosa* and *O. fasciculata*, whereas the *rbcL* sequences of *O. cernua* and *O. ramosa* have several indels resulting in frameshift mutations and premature stop codons (Fig. 1). The latter two sequences represent *rbcL* pseudogenes. The *rbcL* pseudogene sequence from *O. cernua* has a deletion of 328 bp starting at nucleotide position 42 of Fig. 1. This deletion is flanked by a 12 nt sequence (GTTGGATTCAA; Fig. 1) on the 5' end. The sequence adjacent to the 3' end of the deletion is (TTTGGGTTCAA; position 384 of Fig. 1). The similarity of those flanking regions suggests that the deletion is likely the result of a strand-slippage replication error involving TTCAA or the entire 12 nt sequence [7, 25]. Several insertions in the *rbcL* pseudogene sequence from *O. ramosa* also appear as classic strand-slippage artifacts (e.g., nucleotide positions 132, 195, 439, 448, 512 of *Nicotiana*). However, the deletions are not flanked by repeating motifs (Fig. 1).

Figure 1. Sequence alignment for *rbcL* coding region from *Nicotiana* (Ni), *Ipomoea* (Ip), *C. reflexa* (Cu), *O. corymbosa* (Oo), *O. fasciculata* (Of), *O. cernua* (Oe), and *O. ramosa* (Or). An asterisk indicates sequence identity for all taxa without a gap at a particular nucleotide position.

*Figure 1.* Continued.

There are major differences in mutations observed in the *rbcL*-coding sequences among the four species of *Orobanche* included in this study. For example, insertions found in the *rbcL* pseudogene from *O. ramosa* are primarily multinucleotide, whereas the majority of insertions observed for *rbcL* pseudogene sequence from *O. cernua* are single nucleotide (Fig. 1). No indels or premature stop codons were observed in *rbcL* sequences from *O. corymbosa* and *O. fasciculata*. *Orobanche ramosa* has twice as many indels as *O. cernua*, and there is little overlap in the location of these mutations between the two species. Taken together, these results suggest that the majority of indels observed are independently derived, and that evolution of *rbcL* within species of *Orobanche* has proceeded along divergent pathways.

Additional evidence for this conclusion comes from the comparison of  $K_S$  and  $K_N$  for each species.  $K_S$  values for species of *Orobanche* assayed ranged 0.276–0.332 and  $K_N$  values ranged 0.029–0.072 (Table 2). Whereas  $K_S$  values were evenly distributed among species with intact coding regions and pseudogene sequences, the  $K_N$  values were much higher for the pseudogene sequences compared to the sequences from species with intact reading frames. Because the mutations that would lead to the loss of Rubisco function are not shared by all species of *Orobanche* assayed, and because two species apparently have intact reading frames of *rbcL*, it is likely that the loss of Rubisco function occurred after the adaptation to heterotrophy.

The inferred amino acid sequences for taxa with intact *rbcL*-coding regions and the reconstructed ‘pseudoproteins’ for *O. cernua* and *O. ramosa* are represented in Fig. 2. By ignoring the inserted nucleotide sequences in the *rbcL*-coding region of *O. cernua* and *O. ramosa*, we were able to infer a sequence comparable to those of photosynthetic plants. This approach minimized reading-frame shifts that should accumulate in pseudogene sequences. It is important to note, however, that pseudogene sequences are expected to accumulate mutations that would be deleterious to functionally constrained sequences. It is relevant to note that *O. cernua* and *O. ramosa* are more closely related to each other than either is to *O. corymbosa* or *O. fasciculata* (based on a cladistic analysis of *rbcL* sequences [31]), and that several of the amino acid replacements observed are common to all species of *Orobanche* (Fig. 2). We conclude from this result that some of the inferred amino acid replacements did occur prior to pseudogene formation and that the inclusion of ‘pseudoprotein’ sequences in an investigation of the

molecular evolution of *rbcL* is important to elucidate changes that may have led to or resulted from the loss of gene function.

When the reconstructed polypeptides from all taxa in this study were compared to the Rubisco large subunit from *Nicotiana*, 212 amino acid replacements were found and scored in the pam250 analysis. The ‘pseudoproteins’ from *Orobanche cernua* and *O. ramosa* have significantly different amino acid sequences compared to the other taxa assayed (Table 2, Fig. 2). However, the amino acid sequences for *C. reflexa*, *O. corymbosa*, and *O. fasciculata* are not significantly different than those of the nonparasitic genus *Nicotiana* (Table 2; Fig. 2). An examination of structural motifs [21, 22] involving the active site, and dimer-dimer, intradimer and large/small subunit interactive sites reveals that only the ‘pseudoprotein’ sequences for *O. cernua* and *O. ramosa* have a significant accumulation of presumably deleterious mutations in these important sites (Table 3). If the *rbcL* gene is transcribed and translated in *O. corymbosa* and *O. fasciculata*, we would expect the resulting polypeptide to have a similar structure to the Rubisco large subunit of photosynthetic higher plants.

#### 5'- and 3'-UTRs

Secondary structures in the 5'- and 3'-UTRs of photosynthetic genes are important for regulation of gene expression [1, 2, 8, 9, 17, 34, 35, 41]. Inverted repeat sequences capable of forming stem-loop structures in the 5'-UTR of the gene coding for the 32 kDa protein of photosystem II (*psbA*) may function as nuclear protein and ribosome binding sites [8, 9]. However, Salvador *et al.* [34] found no stem-loop structures in the 5'-UTR from *rbcL* of *Chlamydomonas*, but found that the 5'-UTR interacts with the 5' end of the coding region to stabilize mRNA transcripts. Studies of seed plant *rbcL* 5'-UTRs also reveal a lack of palindrome sequences capable of forming stem-loop structures [37, 49]. In contrast, the 3'-UTR of *rbcL* genes examined in photosynthetic higher plants have highly conserved inverted repeat sequences capable of forming stem-loop structures [4]. These structures are purported terminator regions [1, 49] or transcript stabilizers [2, 17, 35, 41].

The 5'-UTR of *Nicotiana* is 182 nucleotides long [36]. The 5'-UTR aligned sequences for the other taxa in the study range 58–202 nt as follows: *Ipomoea*, 189 nt; *C. reflexa*, 202 nt, *O. corymbosa*, 179 nt; *O. cernua*, 116 nt; *O. ramosa*, 58 nt (Fig. 3). The PCR amplification protocol for the 5'-UTR did not

*Table 2.* Synonymous ( $K_S$ ) and nonsynonymous ( $K_N$ ) substitution rates based on a Jukes-Cantor correction, and Jukes-Cantor distance values for each taxon compared to *Nicotiana*; pam250 scores for Rubisco large subunit polypeptide translated from sequence data with significance calculated from pairwise comparison of each taxon to *Nicotiana*.

	$K_S$	$K_N$	Distance	pam250 Score
<i>Nicotiana</i>	—	—	—	1070
<i>Ipomoea</i>	0.189 ± 0.026	0.025 ± 0.005	0.062	1020
<i>Cuscuta</i>	0.301 ± 0.034	0.031 ± 0.054	0.088	997
<i>O. cernua</i>	0.299 ± 0.040	0.072 ± 0.010	0.122	235***
<i>O. corymbosa</i>	0.276 ± 0.033	0.029 ± 0.005	0.082	994
<i>O. fasciculata</i>	0.332 ± 0.037	0.035 ± 0.006	0.097	961
<i>O. ramosa</i>	0.323 ± 0.037	0.065 ± 0.008	0.120	703***

\*\*\* Significant at  $P < 0.0001$  in Wilcoxon 2-sample test based on ranks.

*Table 3.* Number of amino acid replacements observed within structural motifs and interactive sites. pam250 score is sum of all differences compared to *Nicotiana* including amino acid replacements and indels.

Structural motif	<i>Ipomoea</i>	<i>Cuscuta</i>	<i>O. corymbosa</i>	<i>O. fasciculata</i>	<i>O. cernua</i>	<i>O. ramosa</i>
Dimer-Dimer	1	1	1	0	6	3
Intradimer	1	1	1	3	40	11
L/S Subunit	1	1	1	2	10	11
Active Site	0	0	0	0	6	5
Δ pam250	16	8	6	30	283	133

yield a product for *O. fasciculata*. Transcription promoter sequences (−35 and −10 regions) are absent in *O. ramosa*. The −35 region of *O. cernua* has two nucleotide substitutions, whereas the −10 segment has a single nucleotide substitution. *Cuscuta* and *O. corymbosa* have the same sequence for the −35 site, and both taxa have −10 segments identical to *Nicotiana* and *Ipomoea*. All species of *Orobanche* examined have a ribosome binding site (GGAGG) [36] adjacent to the start codon of the *rbcL* reading frame except *O. cernua* has a G → A substitution for the last position.

The lack of a promoter site for *O. ramosa* and the diverged −35 site for *O. cernua* together with the truncated leader sequences for both species suggests that the *rbcL* pseudogene sequences are not expressed. *Orobanche corymbosa* has a promoter sequence comparable to *C. reflexa*, a holoparasitic plant that does express the *rbcL* gene. We predict that a *rbcL* transcript will be found for *O. corymbosa* if a plastid transcriptional apparatus is intact. However, the presence of a ribosome binding site immediately adjacent to the start codon may inhibit translation of the *rbcL* ORF in *O. corymbosa*.

*Cuscuta reflexa* and all species of *Orobanche* assayed had major deletions in the 3'-UTR compared

to *Nicotiana* and *Ipomoea* (Fig. 4). Inverted repeat (IR) sequences capable of forming stem-loop structures were found for each taxon examined (Fig. 5) including *C. reflexa*, which purportedly is missing a 3' IR [18]. Compared to *Nicotiana*, the stem-loop structures found for *Ipomoea* and *C. reflexa* have insertions of 25 and 33 nt, respectively. The inserted nucleotides form additional secondary structures to extend the stem-loop found in *Nicotiana* (Fig. 5). Free energy values for 3'-UTR stem-loop structures for *Ipomoea* and *C. reflexa* exceed that calculated for *Nicotiana* (−15.8, −15.7, −14.0, respectively). We conclude from this result that *Nicotiana*, *Ipomoea*, and *C. reflexa* all retain 3'-UTR sequences capable of forming appropriate stem-loop structures and that those found for *Ipomoea* and *C. reflexa* reflect the close phylogenetic relationship between the Convolvulaceae and Cuscutaceae [6]. Stem-loops in the 3'-UTR of *O. cernua* and *O. corymbosa* are very similar to the stem-loop of *Nicotiana*, whereas there are small deletions and insertions of the region for the 3'-UTRs of *O. fasciculata* and *O. ramosa* (Fig. 5). The free energy values calculated for the stem-loops of the latter two species are much lower than any of the other taxa examined in this study.

**Figure 2.** Rubisco large subunit amino acid alignments for *Nicotiana* (Ni), *Ipomoea* (Ip), *C. reflexa* (Cu), *O. corymbosa* (Oo), *O. fasciculata* (Of), *O. cernua* (Oe), and *O. ramosa* (Or). Sequences for *O. cernua* and *O. ramosa* are ‘pseudoproteins’ reconstructed by eliminating indels. Residues involved in the active site are boxed. An asterisk indicates sequence identity for all taxa without a gap at a particular amino acid position. Symbols used: ? amino acids identical to protein from *Nicotiana*; – gap; \* = identical amino acids for all taxa surveyed; # large/small subunit interactive site; ^ = intradimer interactive site; • = dimer/dimer interactive site; △ = # + ^; \$ = # + •; † = ^ + •.

Evidence has been presented for *rbcL* gene expression (transcription and enzyme activity) from two nonphotosynthetic plants: *C. reflexa* [26] and *Lathraea clandestina* [3, 12]. *rbcL* gene expression in *O. corymbosa* and *O. fasciculata* has not yet been examined. However, no Rubisco activity or translation products were detectable for *O. crenata*, *O. hederae*, *O. minor*, and *O. ramosa* [11, 32, 45]. Transcripts for *rbcL* were detected at highly reduced levels in *C. refl-*

*exa* compared to *Ipomoea* [26]. Haberhausen *et al.* [18] proposed that this reduction in *rbcL* gene expression is due to a lack of a 3' stem-loop structure in *C. reflexa* and point mutations in the promoter region. Our analysis of the 3'-UTR revealed that a stem-loop structure is possible for *C. reflexa* (Fig. 5). Although the stem-loop structure is present in *C. reflexa*, there are 62 nt deleted upstream from the 3'-IR compared to the sequence from *Ipomoea*. Similarly, deletions ran-

-268

Ni	ACATATAACATATACCACTGTCAAGGGGGAAAGTCTTATTATT-----	TAGTTAGTCAGGTATTCCATTCAAAAAAAA	-AAAAGTAAAAA
Ip	ACACATACACATAATCACTGTCAAGAGGAATTCTTATTTCCTTATTAGGTAGGTATTCCATTCAAAAAA	GTTAAA	
Cu	TCACATCTAGGATTCA-TATACAG-----	CATAATCTAGGG-AATTAGG-----TTAGGTTAAAGAAA	-GTTAAA
Oo	ATA-----ACATACACCACTGTCAAGAGGGAAATTCTTAT-----	TAATTAGTTAGGTATTCTAAGCAGGAAATAAAA	A
Oe	ACATAT-----AGCACTGTCAAGAACGAATTCTTATTAAAT-----	TAAGTGAGGT-----TTCTATTCTAAAGTAATAAAATAAAAT	
Or	-----	-----	

• -35 • • -10 • •

Ni	AGAAAAATTCGCTTCCGCTATATATA	AAGAGTATACAATAATGATGTATTGG-----CAAATCAAATACC-ATGG-----TCTAATAATCA
Ip	ATCAAAATGGGTGCGCTATATATG-----AAAGAGTATACAATAATGATGTATTGG-----AAAATCAAATACC-TTGG-----TCTAATAATCA	
Cu	AGAAAAAGTGAATTGCACTAGATATATG-----AAAGAGTGTACAATATTGATGTTGGAAAATAATCAAATCCCCTGGCTCTGGCTAAGAATAA	
Oo	GTGGGGGGGGTGCACTATATATATG-----AAAGGTATACAATAATTATGTGTTGG-----TAAATCAAAGACT-ATGG-----GTCTAATCATCA	
Oe	GGAATTACACTATATCTATATAAAGGGTAGAATAAA-	
Or	-----	

-168

Ni	AACATTCTGATTAGTTGATAATATTA-GTATTAG-----TT-----GGAAATTCTGTGAAAGATTCTATGAA-AAGTTCATTAACACG-GA-----ATTCGTGT
Ip	AACATTCTGATTAGTTGATAATATTA-GTATTAGGATTAGCTGGGAAATTCTGTGAAAGATTCTGTGATCACTCTCATAACGCC-GA-----ATTCATGT
Cu	AATATTCTCATTCGTTGCTAATATTCTGATTATTAGTATTAGT-----TTTTCAAAAGATTCTT-----TTTAATGAAACG-GCGA-----ATTAATGT
Oo	AATATTCTGATTAGT-----TGATA-ATA-----TTAGTTGTAAGTTGTGAAAGATCC-TGAAGGAGT-CATCTCATGCCGATTCTCGTGT
Oe	-----TTAGT-----ATTAGTTGGAAA-----GTTTCTAACATTCTGTG-----ACGATTCAATTA-ACGC-----TTATTATAT
Or	-----

-68

Ni	CGAGTAGACCTTGTGTTGAGAATTCTTAAATT-----CATGAGTTGTA-----GGGAGGGATT <del>TATG</del>
Ip	CGAGTAGACCTTGTGTTGAGAATTCTTAAATT-----CATGAGTTGTA-----GGGAGGGATT <del>TATG</del>
Cu	CGAGTAGACCTTGTGTTGAGAATTCTTAAATT-----CATGAGTTGTA-----GGGAGGAATT <del>TATG</del>
Oo	CGAGTAGACCTTATTGTTGTCAGAAATTCTTAAATT-----CATGAGTTGTA-----GGGAGGAATT <del>TATG</del>
Oe	CGAGTAGACCTTGTGTTGAGAATTCTTAAATT-----CATGAGTTGTA-----GGGAGGAATT <del>TATG</del>
Or	CGAGTAGACCTTGTGTTGAGAATTCTTAAATT-----CATGAGTTGTA-----GGGAGGAATT <del>TATG</del>

\* SD \* Start \*

Figure 3. Sequence alignment for 5'-UTR. Taxon abbreviations same as in Fig. 1; transcriptional start site (|), -35, -10, and ribosome binding (SD) motifs indicated. Asterisk indicates sequence identity for all taxa without a gap at a particular nucleotide position. Start codon of ORF indicated.

+100

Ni	---AAAC--AGTAGACA-----TTAGCAGATAAAATTAGCAGGAAATAAGAAGGATAAGGAGAAACTCAAGTAATTATCCTCTGTTCTCTT-----
Ip	ATAGAAATGAAATAATACCTTACGAGATAAAATTATCGGAGATAA-----AGGATAGGGAGAGGAACTCAAGGAATTACCCCTCTCTCTCTCTC
Cu	-----GGATAAG-----GAACCTAACGAAATT-----CACCTCTC-----CTTCTC
Oo	-----AGATAA-----CAATTAAATTCTCCGTTCTCTT-----
Of	-----AGATAA-----TAATTAAATTACCT-----TCTTC
Oe	-----GATAA-----CA-----TTACTCTTCATCTCTTAA
Or	-----GATAA-----CAATTAAATTACTCTCTCTATATIC-----

\* \*\*\* \*

+200

Ni	--AATTGAATTGCAATTAAACTCGGCCCAATCTTTACTA-----A-----AAGGATTGAGCCGAATACAAC-----AAAGATTCT
Ip	TTAATTGAATTGCAATTCAACTCGGCCCAATCTTTACTAATACTTTACTAATACTAAAGTAAAGGATTGAGCCGAATACAAC-----TAAAGAGTGT
Cu	TT-----CTGAATTGCAATTAAACTCGGCCCAATCTTTACTA-----AATACTAAAGGTTAAAGAAT-----ACTAAAGGTTAAAGAATTG
Oo	--AATTGAATTCAACTAAACTCGGCCCAATCTTTACTA-----TA-----AAGGATTGAGCCGAATACAAC-----AGATTCT
Of	-----CAACTAAATCGGCCCAATCTTTACTA-----TA-----ACTA-----TAAAGGATTGAGCCAAATAC-----AAAGATTCT
Oe	---ATTGAATTCAATTAAACTCGCTTATC-----CTTTTA-----GTAAAGGATTGAGCCGAATACAACAAATGCAAAGATT
Or	---ATTGAATTCAATTAAATTGCTCAATT-----ATTTTA-----GTAAAGGATTGAGCCGAATACAACAAATGCAAAGATT

\* \*\*\* \*

+300

Ni	ATTGCATATATTGACTAAAGTATATACTTAC-----TAGATATACAAAGATTGAAATACAAAATCTAGAAAACAAATCTAAAGACT-----
Ip	ATTGCATGTTTGGATAAGTATATACTTACGATTCTAGATATACAAAGATTGAAATACAAAATCTAGAAAACAAATCTAAAGACT-----
Cu	GGCGAATACA-----GCTAAATA-----ATTCTTGGAT-----GAGTATATGAG-----TATAATT-----TCTA-----TAAAT-----ATTTTAGA
Oo	ATTGCATGTTTGGATAATACATATATCT-----TAGATATACAAAGATTGAAATACAAAATCTAAAGACT-----
Of	ATTGTTATTGATA-----TATATA-----TATAGACGA-----
Oe	ATTGCATATATTCT-----TGGATAATATAT-----TTAGATATAGAAGGTTGAAATAGAAAATCTAG-----ACTAAAT-----A
Or	ATTGCATGTTT-----GGAAAATATAT-----TTAGGTAACT-GATCTCAATAG-AAATATAAG-----ACTGA-----

\* \*\*\* \*

Figure 4. Sequence alignment for 3'-UTR. Taxon abbreviations same as in Fig. 1; sequence for stem-loop structure overlined. Asterisk indicates sequence identity for all taxa without a gap at a particular nucleotide position.

<u>Nicotiana</u>	<u>UCGGCCCAAUCUUUUACUAA<u>AAGGAUUGaGCCGA</u></u>	$\Delta G = -14.0$
<u>Ipomoea</u>	<u>UCGGCcCAAUCUUUUACUAA<u>ACUUUUACUAA<u>AAGGUAA****AAAGGAUUGaGCCGA</u></u></u>	$\Delta G = -15.8$
<u>Cuscuta</u>	<u>UCGGCcCAAU*CUUUUACUAAA<u>ACUA<u>AAGGUAAAAGAAUACUAA<u>AAGGUAAAAGAAUUGaGCCGA</u></u></u></u>	$\Delta G = -15.7$
<u>O. cernua</u>	<u>UCGGCU<u>AAUCU<u>UUUAGUAA<u>AAGGU<u>AGGCCGA</u></u></u></u></u>	$\Delta G = -16.2$
<u>O. corymbosa</u>	<u>UCGGCcCAAUCUUUUACUAA<u>AAGGU<u>AGGCCGA</u></u></u>	$\Delta G = -15.1$
<u>O. fasciculata</u>	<u>GGC<u>CAAUCUUUUACUAA<u>ACUAA<u>AAGGU<u>JUGaGCC</u></u></u></u></u>	$\Delta G = -9.1$
<u>O. ramosa</u>	<u>GCU<u>AAUU<u>UUUUAGUAAA<u>gGAUUGAGC</u></u></u></u>	$\Delta G = -7.9$

Figure 5. mRNA sequences for stem-loop structures and free energy values calculated using MFOLD program of GCG software package. Taxon abbreviations: same as in Fig. 1.

ging 56–69 nt are found upstream of the 3'-UTR stem-loop structures for all species of *Orobanche* examined. It is possible that the distance from the stop codon to the stem-loop is an integral part of the regulatory mechanism(s) involved in *rbcL* gene expression (e.g., transcription termination, processing or stability; recognition-site for RNA-binding protein). If so, the low level of *rbcL* gene expression observed for *C. reflexa* may result from the truncated distance from the stop codon to the stem-loop structure.

Why is *rbcL* retained in holoparasitic plant plastid genomes? As noted above, the *rbcL* gene has been retained in a viable form in some holoparasitic plants (e.g., *Cuscuta*, *Lathraea*) and as an open reading frame in at least two species of *Orobanche*. The gene is also present with intact coding regions in several other non-photosynthetic genera of the Scrophulariaceae (Wolfe and dePamphilis, unpublished). Although major deletions in the plastid genomes of heterotrophic genera of the Orobanchaceae, Cuscutaceae and *A. longa* have been observed, *rbcL* is present in the majority of taxa surveyed, whereas the other photosynthetic and chlororespiratory gene classes are not [5, 13, 14, 19, 28, 48].

There are at least three alternative hypotheses for the retention of *rbcL* in a holoparasitic plant: (1) the plant still requires the Rubisco protein for low levels of autotrophic carbon fixation; (2) the oxygenase activity may function in glycolate metabolism; (3) stochastic events have resulted in its maintenance and/or the loss of photosynthesis was recent and sufficient time has not yet passed for the accumulation of deleterious mutations.

Rubisco has a carboxylase and oxygenase activity. The carboxylase activity is responsible for the fixation of carbon dioxide into a C<sub>3</sub> intermediate followed by

the C<sub>6</sub> sugar glucose, whereas the oxygenase activity of photorespiration is a competitive reaction involved in glycolate metabolism (e.g., glycine and serine biosynthesis) [27]. Although most photosynthetic hemiparasites are immediately recognizable by the presence of green leaves and measurable photosynthetic activity [23], some species may maintain very low levels of photosynthetic pigment production and photosynthesis, or perform photosynthesis only during a discrete phase of the life cycle (e.g., seed maturation or seedling development). Such plants might be termed ‘cryptic hemiparasites’, and could appear to be holoparasitic at first analysis. This may explain the retention of a minimally expressed *rbcL* in *Cuscuta reflexa* [18].

Holoparasitic plants receive reduced carbon from their host [33] eliminating the necessity for carbon fixation. Siemeister and Hachtel [39] suggested photorespiration from Rubisco activity may be the reason *rbcL* is retained and expressed in the heterotrophic alga *Astasia longa*. Press *et al.* [32] reported that photorespiration decreases with increasing parasitic ability in higher plants. Clearly, Rubisco activity is maintained in some holoparasitic plant genera, and several others have intact *rbcL* coding regions. Although unlikely, it is possible that the oxygenase activity of Rubisco is maintained in parasitic plants until the adaptation to heterotrophy is complete, and acquisition of host amino acids reduces the need for a glycolate pathway.

To determine whether the retention of *rbcL* in *O. corymbosa* and *O. fasciculata* could be due to stochastic factors, we introduce a probability model to assess the likelihood of a gene with no functional constraint (e.g., loss of photosynthetic ability) retaining an ORF. We assume: (1) that *O. corymbosa* and *O. fasciculata* had a nonphotosynthetic ancestor, and that all *rbcL* sequence divergence has occurred without

Table 4. Jukes-Cantor distances calculated for all taxa included in study from 1431 nt of *rbcL* sequence. Gap sites and missing data removed only in pairwise comparisons. Distances in upper right, standard errors in lower left.

OTU's	<i>Nicotiana</i>	<i>Ipomoea</i>	<i>Cuscuta</i>	<i>O. cernua</i>	<i>O. corymbosa</i>	<i>O. fasciculata</i>	<i>O. ramosa</i>
<i>Nicotiana</i>	—	0.0620	0.0883	0.1216	0.0821	0.0968	0.1199
<i>Ipomoea</i>	0.0068	—	0.0485	0.1260	0.0955	0.1124	0.1294
<i>Cuscuta</i>	0.0082	0.0060	—	0.1505	0.1115	0.1249	0.1497
<i>O. cernua</i>	0.0113	0.0115	0.0128	—	0.1075	0.1152	0.0836
<i>O. corymbosa</i>	0.0079	0.0086	0.0094	0.0106	—	0.0381	0.0995
<i>O. fasciculata</i>	0.0087	0.0095	0.0101	0.0111	0.0053	—	0.1104
<i>O. ramosa</i>	0.0100	0.0104	0.0113	0.0094	0.0090	0.0096	—

photosynthetic constraint; and (2) that the probability of any random mutations generating a stop codon is 4/63 and the probability of any random mutation *not* introducing a stop codon is 59/63. If these assumptions are correct and all mutations occurring to these *rbcL* sequences are independent, then, if the sequences are pseudogenes, the probability of retaining an ORF without a stop codon is:

$$P = (59/63)^n, \text{ where } n \text{ is the number of mutational (nucleotide substitution) differences between them.}$$

*rbcL* in Scrophulariaceae/Orobanchaceae taxa has 1434 nucleotides; 1431 nucleotides excluding the terminal stop codon. From Table 4, we find that there are ca. 54.52 ( $1431 \times 0.0381$ ) nucleotide differences after correcting for multiple substitutions between the *rbcL* sequences of *O. corymbosa* and *O. fasciculata*.

$$P = (59/63)^{54.52} = 0.0279$$

Therefore, the probability of retaining a *rbcL* ORF in these two species of *Orobanche* under a loss of functional constraint is 2.79%. This number is actually an overestimate of the probability of retention because the model does not factor in the possibility of indels. Although the probability of retention under these circumstances is low, it is not outside the realm of possibility that preservation of *rbcL* in these two species is the result of stochastic factors.

The Orobanchaceae is estimated to have diverged from the common ancestor of its sister group (Scrophulariaceae) some  $5-50 \times 10^6$  years ago [14], whereas Cuscutaceae and Convolvulaceae (the closest photosynthetic relatives of Cuscutaceae) diverged sometime in the last  $15-55 \times 10^6$  years [14, 29]. The major difference between the two groups of holoparasites is that genera of Orobanchaceae are all root para-

sites spending a large part of their life cycle underground, whereas the species of Cuscutaceae are all stem parasites, germinating in the soil and twining up their host stems before initiating haustoria. All genera of the Orobanchaceae are nonphotosynthetic, but several species of Cuscutaceae have a minimal capacity for photosynthesis [23, 26]. Although the estimated time since divergence for both lineages of parasitic plants overlap, the time since adaptation to holoparasitism has been sufficient for *Epifagus* and *Conopholis* (Orobanchaceae) to have deleted all photosynthetic genes. However, photosynthetic genes have been retained in functional form in some species of Cuscutaceae. The hypothesis of too little time since the loss of photosynthesis does not entirely account for the retention of the *rbcL* gene in *O. corymbosa* and *O. fasciculata* if they shared the same nonphotosynthetic ancestor as did other taxa of Orobanchaceae (e.g., *O. cernua* and *O. ramosa*). Phylogenetic reconstructions based on *rps2* and *rbcL* gene sequences have not yet been able to resolve the monophyly of genera within Orobanchaceae and among species of *Orobanche* (dePamphilis *et al.*, unpublished; Wolfe and dePamphilis, unpublished). If loss of photosynthesis occurred independently among genera of Orobanchaceae, and if the genus *Orobanche* is not monophyletic, the hypothesis of a recent loss of photosynthesis could explain the retention of *rbcL* in *O. corymbosa* and *O. fasciculata*.

If all of the above hypotheses are rejected, then it is also possible that *rbcL* DNA sequence, transcript, or peptide may be required for some additional function unrelated to either of the known carboxylase or oxygenase activities. We are presently unable to select among these hypotheses, but suggest that at least one must correctly identify why *rbcL* has been retained in some holoparasitic taxa.

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